

# A1 Birtley to Coal House

## Scheme Number: TR010031

### 4.2 Funding Statement

APFP Regulation 5(2)(h)

Planning Act 2008

Infrastructure Planning (Applications: Prescribed  
Forms and Procedure) Regulations 2009



Infrastructure Planning

Planning Act 2008

**The Infrastructure Planning  
(Applications: Prescribed Forms and  
Procedure) Regulations 2009**

**The A1 Birtley to Coal House  
Development Consent Order 20[xx]**

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**FUNDING STATEMENT**

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<b>Regulation Number:</b>	APFP Regulation 5(2)(h)
<b>Planning Inspectorate Scheme Reference</b>	TR010031
<b>Application Document Reference</b>	TR010031/APP/4.2
<b>Author:</b>	A1 Birtley to Coal House Project Team, Highways England

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Rev 0	24/06/2019	Application Issue

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## FUNDING STATEMENT

Signed.....

**[Nicola Wilkes]**

**Project Manager**

**on behalf of Highways England**

**Date: [DATE]**

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## 1 Introduction

### 1.1 Purpose of document

- 1.1.1 This Funding Statement (this "Statement") relates to an application made by Highways England (the "Applicant") to the Planning Inspectorate (the "Inspectorate") under the Planning Act 2008 (the "2008 Act") for a Development Consent Order (DCO). If made, the DCO would grant consent for the Applicant to undertake the A1 Birtley to Coal House (the "Scheme"). A detailed description of the Scheme can be found in Chapter 2 of the Environmental Statement (the "ES") (**Application Document Reference: TR010031/APP/6.1**).
- 1.1.2 The purpose of this Statement is to demonstrate that the Scheme will be adequately funded through the Road Investment Strategy (RIS), using the change control processes set out in Part 6 of the Highways England Licence (see **Appendix A**) if required, and therefore that funding is no impediment to the delivery of the Scheme or the payment of compensation to persons affected by compulsory acquisition, temporary possession, or a blight claim.
- 1.1.3 This Statement has been prepared and submitted in compliance with Regulation 5(2)(h) of the Infrastructure Planning (Applications: Prescribed Forms and Procedure) Regulations 2009 (the "2009 Regulations") and in accordance with the Department for Communities and Local Government guidance 'Planning Act 2008: Guidance related to procedures for compulsory acquisition' (September 2013).
- 1.1.4 This Statement is required due to the fact that the DCO would authorise the compulsory acquisition of land, interests in land or rights over land. This leads to the requirement under Regulation 5(2)(h) for a statement indicating how the implementation of these powers in the DCO would be funded.
- 1.1.5 As this Statement is part of the application documents it should be read alongside, and is informed by, the other application documents; in particular, the Statement of Reasons (**Application Document Reference: TR010031/APP/4.1**), which is also included in the application to comply with the requirements of Regulation 5(2)(h).

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## 2 Capital Expenditure

### 2.1 Capital Cost

- 2.1.1 The application includes two design options for the replacement of Allerdene Bridge. Further details about these options can be found in Chapter 2 of the Environment Statement (**Application Document Reference: TR010031/APP/6.1**). The design options are known as the Embankment option and the Viaduct option. The Scheme has a most-likely estimate of **£289 million** which includes all costs to construct either the Embankment or Viaduct option and all costs to deliver the Scheme from Options Stages through to the opening for traffic. It includes an allowance for compensation payments relating to the compulsory acquisition of land interests in, and rights over, land and the temporary possession and use of land. It also takes into account potential claims under Part 1 of the Land Compensation Act 1973, Section 10 of the Compulsory Purchase Act 1965 and Section 152(3) of the 2008 Act.
- 2.1.2 The estimate for these items have been informed by land referencing activities, engagement of professional surveyors, and information received from consultation and engagement with parties having an interest in the land.
- 2.1.3 Highways England has been, and will continue to be, responsible for all preparation costs associated with the Scheme. These may include items such as design costs, legal costs, land acquisition costs, advance payments to statutory undertakers and surveying costs.
- 2.1.4 The estimate has been prepared in accordance with Highways England procedures and, in combination with the approved budget, provides sufficient cost certainty to enable the Applicant to confirm the viability of the Scheme.



### 3 Sources of Funding

- 3.1.1 Highways England is a government owned company and is responsible for operating, maintaining and improving the strategic road network in England. These responsibilities include the acquisition, management and disposal of land and property in relation to strategic road network improvement projects, together with the payment of compensation related to these activities. Highways England is responsible for delivering the major projects in the RIS.

#### Investing in Britain's Future

- 3.1.2 The funding commitment for a feasibility study of the A1 Newcastle-Gateshead Western Bypass (NGWB) was initially made in June 2013 whilst the Highways Agency was responsible for operating, maintaining and improving England's strategic road network. This commitment was made in the policy document "Investing in Britain's Future", an extract of which can be found at **Appendix B**.
- 3.1.3 In addition to this the Government published the RIS on 1 December 2014, which is underpinned by legislation following the Infrastructure Bill receiving Royal Assent on 12 February 2015 and the creation of Highways England on 1 April 2015. The RIS provides certainty of Government funding with over £15 billion to be invested in major roads between 2015/16 and 2020/21. The Scheme was announced in the RIS as a committed and therefore funded Scheme (**Appendix C**).

#### RIS for 2015/2016 – 2019/2020 Road Period

- 3.1.4 The funding commitment was reiterated in the Highways England Delivery Plan 2015-2020 which was published in March 2015 (**Appendix D**) and subsequent delivery plans: July 2016 (**Appendix E**); August 2017 (**Appendix F**); and July 2018 (**Appendix G**).
- 3.1.5 The Government and Highways England commitments set out above demonstrate that the Scheme will be fully funded by the Department for Transport and consequently the Scheme is not dependant on funding contributions from other parties.

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## 4 Blight

- 4.1.1 The term 'blight' refers to the reduction of economic activity or property values in a particular area resulting from possible future development, or restriction of development. Blight notices may be served on the Applicant by those with a qualifying interest in affected land.
- 4.1.2 To date no blight notices have been served in respect of the Scheme.
- 4.1.3 Should any future claims for blight arise as a consequence of the proposed compulsory acquisition of land, or rights in land, affected by the Scheme, the costs of meeting any valid claim will be met by Highways England.



## Appendices

### Appendix A - Highways England Licence

[https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/431389/strategic-highways-licence.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/431389/strategic-highways-licence.pdf)

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## Appendix B - Extract from “Investing in Britain’s Future”, HM Treasury, June 2013 Table A.3

**Table A.3: Feasibility studies this Government will undertake**

Feasibility studies
A303/A30/A358 Corridor
A1 North of Newcastle
A1 Newcastle-Gateshead Western Bypass
A27 Corridor (inc. Arundel and Worthing)
Trans-Pennine routes
Connectivity to Leeds Airport, including consideration of issues around the A657

## Appendix C - Part 2 Section 2 from the RIS 1 report – Feasibility Studies

### A1 Newcastle-Gateshead western bypass

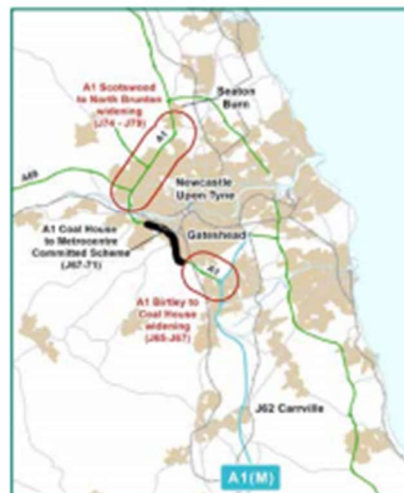
The A1 Newcastle-Gateshead western bypass is important to the economy of the North East, supporting both regional and local connectivity. The route has some of the most congested highway links in the region and it needs to perform well to support the ambitions for local growth. As part of the 2012 Newcastle City Deal and the 2013 Spending Round, we committed to widen the bypass from Coal House to the Metro Centre (J67 to J71) to three lanes, where delivery work has already started.

To tackle the current congestion and address the forecast impacts of traffic growth from planned development, we are announcing an investment package worth around £350 million and consisting of the following:

- **A1 Birtley to Coal House (J65-J67)** – online widening south of Gateshead to three lanes. Alongside this enhancement, separate maintenance schemes will replace and improve the Allerdene Bridge, which carries the A1 over the East Coast Main Line. Constructed nearly 40 years ago, the bridge requires regular maintenance works to keep the bridge operational.
- **A1 Scotswood to North Brunton (J74-J79)** – four and a half miles of narrow lane widening to allow three lanes of traffic through the junctions, with four lanes between some junctions.

We have also identified other improvement measures. The planned investment package, for example, includes technology upgrades and a number of associated improvements for pedestrians and cyclists to provide better access and reduce severance caused by the bypass. Taken together, this investment will help to ease congestion and support local growth and tackle the on-going maintenance requirement of the Allerdene Bridge, making the route more resilient. Longer term, we will continue to examine the case for further improvements to the other sections of the Western Bypass in future road investment strategies.

### Outcomes from the A1 Newcastle – Gateshead western bypass feasibility study



## Schemes Committed

### Previously announced

- **A19 Coast road** – replacement of the junction between the A19 and the A1058, allowing free-flowing movement for traffic along both the A19 and A1058. This provides uninterrupted access to the northern end of the recently-widened Tyne Tunnel. Together with the A19 Testos, this scheme raises the A19 to expressway standard from Yorkshire to north Newcastle.
- **A19 Testos** – grade separation of the junction between the A19 and A184, providing free-flowing access to the southern end of the Tyne Tunnel. Together with the A19 Coast Road, this scheme raises the A19 to expressway standard from Yorkshire to north Newcastle.
- **A160/A180 Port of Immingham** – improvements to the junction between the A180 and A160 near Immingham port, plus upgrading the A160 to a full dual carriageway between the A180 and the port itself.
- **A63 Castle Street** – grade separation of the A1079 Mytongate junction in Hull and improvements to the surrounding roads, including the provision of improved pedestrian and cyclist access across the A63. Along with the Connecting the City Bridge, funded through the Humber Growth Deal, this will improve cyclists' and pedestrians' access to and from Hull Marina and road access to and from the port of Hull.

### Newly announced in this Investment Plan

- **A1 Morpeth to Ellingham** – 13 miles of upgrades to dual the carriageway linking the Morpeth and Alnwick bypasses with the dual carriageway near Ellingham, to create a continuous, high-quality dual carriageway from Newcastle to Ellingham.
- **A1 North of Ellingham enhancements** – a set of measures to enhance the A1 beyond Ellingham, including:
  - Three stretches of climbing lanes totalling 2.5 miles
  - Five junctions enhanced with dedicated right-turn facilities
  - Better crossings for pedestrians and cyclists
- **A1 Scotswood to North Brunton** – narrow lane widening in Newcastle between junction 74 and junction 79 to allow three lanes of traffic through the junctions, and four lanes between some junctions.
- **A1 Birtley to Coal House** – online widening of the A1 south of Gateshead to three lanes. Alongside this enhancement, a separate maintenance scheme will replace and improve the Alerdene Bridge. Linking with the existing Coal House to Metro Centre scheme, this will provide three lanes of capacity from the Metro Centre to the A194(M) interchange.

## Appendix D - Highways England Delivery Plan 2015-2020 (Published March 2015) Committed Schemes

### Major Improvements Investment Plan Scheme Schedule 2015-20

Number on Map	Schemes already in construction
1	A556 Knutsford to Bowdon
2	A1 Coal House to Metro Centre
3	A1 Leeming to Barton
4	M1 Junctions 26-31
5	A453 Widening
6	A14 Kettering bypass widening
7	M1 Junction 19 Improvement
8	A45-A46 Tollbar End
9	A5/M1 J11a Link
10	M25 Junction 30
11	M6 Junctions 10a-13
12	A30 Temple to Carblake <sup>1</sup>
13	M1 Junctions 22-35A
14	M1 Junctions 39-42
15	M60 Junction 8 to M62 Junction 20: Smart Motorway
16	M3 Junctions 2-4A
Number on Map	Schemes announced in June 2013 and due to start construction by end 2019/20
17	A160/A180 Immingham
18	A21 Tonbridge to Pembury
19	M1 Junctions 13-19
20	M5 Junctions 4A-6
21	M6 Junctions 16-19
22	A14 Cambridge to Huntingdon
23	M20 Junction 10a
24	A19/A1058 Coast Road
25	M4 Junctions 3-12
26	A63 Castle Street
27	M1 Junctions 24-25
28	M6 Junctions 2-4
29	M6 Junctions 13-15
30	M20 Junctions 3-5
31	M23 Junctions 8-10
32	M27 Junctions 4-11
33	M6 Junctions 21A-26
34	M60 Junctions 24-27 & J1-4
35	A19 Testos
36	M54 to M6 / M6 toll
37	A27 Chichester Bypass
38	A38 Derby Junctions
39	A2 Bean & Ebbwfeet
40	M62 Junctions 10-12
41	M56 Junctions 6-8
42	M3 Junctions 9-14
Number on Map	Schemes announced in December 2014 and due to start construction by end 2019/20
43	A19 Down Hill Lane junction improvement
44	A19 Norton to Wynyard
45	A1 & A19 Technology enhancements
46	M1 Junction 45 Improvement
47	M621 Junctions 1-7 Improvements
48	M62/M606 Chain Bar
49	M62 Junctions 20-25
50	A585 Windy Harbour - Skippool
51	A5036 Princess Way - Access to Port of Liverpool
52	M6 Junction 22 upgrade
53	M53 Junctions 5-11
54	M56 new Junction 11A
55	M6 Junction 19 Improvements
56	A500 Etruria widening
57	M1 Junctions 23A-24
58	M6 Junction 10 improvement
59	A5 Dodwells to Longshoot widening
60	M42 Junction 6
61	A46 Coventry junction upgrades
62	M40/M42 interchange Smart Motorways
63	A45/A6 Chowns Mill junction improvement
64	M5 Junctions 5, 6 & 7 junction upgrades
65	A43 Adthorpe Junction
66	A428 Black Cat to Caxton Gibbet
67	M11 Junctions 8 to 14 - technology upgrade
68	A12 Chelmsford to A120 widening
69	A12 whole route technology upgrade
70	A1(M) Junctions 6-8 Smart Motorway
71	M11 Junction 7 junction upgrade
72	A34 Oxford Junctions
73	A34 Technology enhancements
74	M25 Junction 25 Improvement
75	M25 Junction 26 Improvement
76	M4 Heathrow slip road
77	M2 Junction 5 Improvements
78	M25 Junctions 10-16
79	M25 Junction 10/A3 Wisley Interchange
80	M3 Junction 9 Improvement
81	M3 Junction 10-11 Improved sliproads
82	M3 Junctions 12-14 Improved sliproads
83	M27 Southampton Junctions
84	M271 / A35 Redbridge roundabout upgrade
85	A31 Ringwood
86	M49 Avonmouth Junction
87	M5 Bridgwater Junctions
88	A52 Nottingham Junctions
89	A14 Junction 10a
90	A5 Towcester Relief Road
91	A30 Chiverton to Carland Cross
Number on Map	Schemes identified following the outcomes from the six feasibility studies
92	A1 North of Ellingham
93	A1 Morpeth to Ellingham dualing
94	A1 Scotswood to North Brunton
95	A1 Birtley to Coal House widening
96	A628 Climbing Lanes
97	A61 Dualing
98	Mottram Moor link road
99	A57(T) to A57 Link Road
100	A47 North Tuddenham to Easton
101	A47 Bixfield to North Burlingham dualing
102	A47 Acle Straight
103	A47 & A12 junction enhancements
104	A47/A11 Thickethorn Junction
105	A47 Guyhirn Junction
106	A47 Wensford to Sutton
107	A27 Arundel Bypass
108	A27 Worthing and Lancing Improvements
109	A303 Amesbury to Berwick Down
110	A303 Sparkford - Ilchester dualing
111	A358 Taunton to Southfields
Number on Map	Schemes contributing to investment with local authorities
112	A50 Uttroxeer

<sup>1</sup>Scheme is being delivered by Cornwall County Council and is partly funded by Highways England.



## Appendix E - Highways England Delivery Plan 2016-2017 (Published July 2016) Committed Schemes

Updated scheme schedule 2015-2020						
Map Ref	Scheme	Region	Programme			
			Start of works		Open for traffic	
			Commit	Status	Commit	Status
66	A428 Black Cat to Caxton Gibbet	East	2019-20			
67	M11 junctions 8-14 - technology upgrade	East	2019-20			
68	A12 Chelmsford to A120 widening	East	2019-20			
69	A12 whole-route technology upgrade	East	2019-20			
70	A1(M) junctions 6-8 smart motorway	East	2019-20			
71	M11 junction 7 - junction upgrade	East	2019-20			
72	A34 Oxford junctions	South East and London	2019-20			
73	A34 technology enhancements	South East and London	2019-20			
74	M25 junction 25 improvement	South East and London	2019-20			
75	M25 junction 26 improvement	South East and London	2019-20			
76	M4 Heathrow slip road	South East and London	2019-20			
77	M2 junction 5 improvements <sup>1</sup>	South East and London	2019-20			
78	M25 junctions 10-16	South East and London	2019-20			
79	M25 junction 10/A3 Wisley interchange	South East and London	2019-20			
80	M3 junction 9 improvement	South East and London	2019-20			
81	M3 junction 10-11 improved sliproads	South East and London	2019-20			
82	M3 junctions 12-14 improved sliproads	South East and London	2019-20			
83	M27 Southampton junctions	South East and London	2019-20			
84	M27/A35 Redbridge roundabout upgrade	South East and London	2019-20			
85	A31 Ringwood	South East and London	2019-20			
86	M49 Avonmouth junction <sup>2</sup>	South West	2019-20			
87	M5 Bridgwater junctions <sup>3</sup>	South West	2019-20		2017-18 Q3	
88	A52 Nottingham junctions	Midlands	2019-20			
89	A14 junction 10a	Midlands	2019-20			
90	A5 Towcester relief road	Midlands	2019-20			
91	A30 Chilverton to Carland Cross	South West	2019-20			
92	A1 North of Ellingham <sup>4</sup>	Yorkshire and North East	2019-20			
93	A1 Morpeth to Ellingham dualing	Yorkshire and North East	2019-20			
94	A1 Scotswood to North Brunton	Yorkshire and North East	2019-20			
95	A1 Birtley to Coal House widening	Yorkshire and North East	2019-20			
96	A628 climbing lanes	Yorkshire and North East	2019-20			
97	A61 dualing	Yorkshire and North East	2019-20			

## Appendix F - Highways England Delivery Plan 2017-2018 (Published August 2017) Committed Schemes

Updated scheme schedule 2015-2020						
Map Ref	Scheme	Region	Programme			
			Start of works		Open for traffic	
			Commit	Status	Commit	Status
85	A31 Ringwood	South East and London	2019-20			
86	M49 Avonmouth junction	South West	2019-20			
87	M5 Bridgwater junctions	South West	2019-20			
88	A52 Nottingham junctions <sup>41</sup>	Midlands	2019-20	Started		
89	A14 junction 10a	Midlands	2019-20			
90	A5 Towcester relief road	Midlands	2019-20			
91	A30 Chiverton to Carland Cross	South West	2019-20			
92	A1 North of Ellingham	North East and Yorkshire	2019-20			
93	A1 Morpeth to Ellingham dualling	North East and Yorkshire	2019-20			
94	A1 Scotswood to North Brunton	North East and Yorkshire	2019-20			
95	A1 Birtley to Coal House widening	North East and Yorkshire	2019-20			
96	A628 climbing lanes	North East and Yorkshire	2019-20			
97	A61 dualling	North East and Yorkshire	2019-20			
98	Mottram Moor link road	North West	2019-20			
99	A57(T) to A57 link road	North West	2019-20			
100	A47 North Tuddenham to Easton	East	2019-20			
101	A47 Blofield to North Burlingham dualling	East	2019-20			
102	A47 Acle Straight	East	2019-20	Started	2017-18 Q4	
103	A47 and A12 junction enhancements	East	2019-20			



## Appendix G - Highways England Delivery Plan 2018-2019 (Published July 2018) Committed Schemes

Updated scheme schedule 2015-2020						
Map Ref	Scheme	Region	Programme			
			Start of works		Open for traffic	
			Commit	Status	Commit	Status
66	A428 Black Cat to Caxton Gibbet <sup>29</sup>	East	RP2			
67	M11 junctions 8-14 – technology upgrade <sup>30</sup>	East				
68	A12 Chelmsford to A120 widening <sup>31</sup>	East	RP2			
69	A12 whole-route technology upgrade <sup>32</sup>	East				
70	A1(M) junctions 6-8 smart motorway	East	2019-20			
71	M11 junction 7a – junction upgrade	East	2019-20			
72	A34 Oxford junctions	South East and London	2019-20			
73	A34 technology enhancements	South East and London	2019-20			
74	M25 junction 25 improvement	South East and London	2020-21			
75	M25 junction 28 improvement	South East and London	2021-22			
76	M4 Heathrow slip road	South East and London	2017-18 Q2	Complete	2017-18 Q4	Complete
77	M2 junction 5 improvements	South East and London	2019-20			
78	M25 junctions 10-16	South East and London	2020-21			
79	M25 junction 10/A3 Wisley Interchange	South East and London	2020-21			
80	M3 junction 9 improvement	South East and London	2021-22			
81	M3 junction 10-11 improved sliproads	South East and London	2019-20			
82	M3 junctions 12-14 improved sliproads	South East and London	2019-20			
83	M27 Southampton junctions	South East and London	2019-20			
84	M27/A35 Redbridge roundabout upgrade	South East and London	2019-20			
85	A31 Ringwood	South East and London	2020-21			
86	M49 Avonmouth junction	South West	2019-20	Started	2020-21	
87	M5 Bridgwater junctions <sup>33</sup>	South West	2020-21			
88	A52 Nottingham junctions	Midlands	2019-20	Started	2023-24	
89	A14 junction 10a <sup>34</sup>	Midlands				
90	A5 Towcester relief road	Midlands	2019-20			
91	A30 Chilverton to Carland Cross	South West	2019-20			
92	A1 North of Ellingham	North East and Yorkshire	2019-20			
93	A1 Morpeth to Ellingham dualing	North East and Yorkshire	2019-20			
94	A1 Scotswood to North Brunton	North East and Yorkshire	2019-20			
95	A1 Birtley to Coal House widening	North East and Yorkshire	2020-21			